

2121

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4+3 refs

Application Number	09/976,368
Filing Date	October 12, 2001
First Named Inventor	Collazo, Carlos M.
Group Art Unit	2121
Examiner Name	
Total Number of Pages in This Submission	4+3 refs
Attorney Docket Number	020897-000110US

ENCLOSURES (check all that apply)

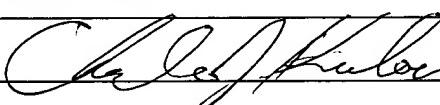
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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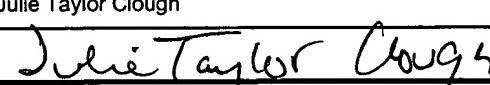
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Firm and Individual name	Townsend and Townsend and Crew LLP Charles J. Kulas	Reg. No. 35,809
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PATENT
Attorney Docket No.: 020897-000110US



Assistant Commissioner for Patents
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Jan. 3, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Carlos M. Collazo

Application No.: 09/976,368

Filed: October 12, 2001

For: SYSTEM-WIDE OPTIMIZATION
INTEGRATION MODEL

Examiner:

Art Unit: 2121

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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Page 2

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

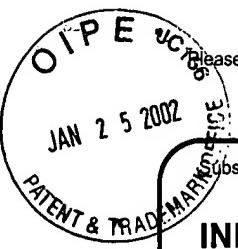
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Respectfully submitted,


Charles J. Kulas
Reg. No. 35,809

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	020897-000110US
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C mplete if Known

Application Number	09/976,368
Filing Date	October 12, 2001
First Named Inventor	Collazo, Carlos M.
Group Art Unit	2121
Examiner Name	

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	6,088,330		Bruck, et al.	07-11-2000	
	AB	6,128,277		Bruck, et al.	10-03-2000	
	AC	6,185,619 B1		Joffe, et al.	02-06-2001	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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